

# Air Traffic and Air Transportation

## Flygtrafik och flygtransporter

### Planning for Remote Towers

Joint work with Billy Josefsson (LFV) and Tatiana and Valentin Polishchuk



- Provides ATS remotely to small airports
- Replaces local tower with cameras and sensors
- Increases efficiency: HR and ATS costs are split between several airports

- In Sweden: LFV + SAAB
- Within SESAR Joint Undertaking
- RTC in Sundsvall:
  - Operates 2 airports remotely
  - + 5 airports in development



How to distribute the total workload from several airports over several controller working positions?



# Problem description

## Given:

- (1) Example schedules IFR traffic schedules for 1 day (movements = arrival + departure flights) for five Swedish airports
- (2) Specifications of additional special traffic at these airports (military, school, hospital etc.)
- (3) Airport opening hours

## Goal:

Propose optimal assignment of the airports to RTC modules

Notation	Parameter	Notation	Variable
$A$	set of airports	$op_{j,k}$	= 1 if airport $j$ is open during period $k$ , = 0 otherwise
$R$	set of RTMs	$M_k$	number of modules in use during period $k$
$P$	set of time periods	$RTM_{i,k}$	= 1 if RTM $i$ is used during period $k$ , = 0 otherwise
$p$	number of time periods	$period_{i,j,k}$	= 1 if airport $j$ is assigned to RTM $i$ during period $k$ , = 0 otherwise
$mMov$	max number of movements per RTM per period	$mov_{i,j,k}$	number of movements handled by RTM $i$ at airport $j$ during period $k$
$mA$	max number of airports per RTM	$switch_{i,j,k}$	= 0 if $period_{i,j,k} = period_{i,j,k+1}$ , = 1 otherwise
$Amov_{j,k}$	number of movements at airport $j$ during period $k$	$d_{l,m,k}$	difference between the workloads in modules $l$ and $m$ in period $k$

## Constraints (MIP)

1. Number of airports assigned to one module  $\leq mA$
2. Total number of movements within a module  $\leq mMov$
3. One airport assigned to only one module
4. All scheduled traffic from 5 airports is handled
5. All opening hours at 5 airports are covered

$$\sum_{j \in A} period_{i,j,k} \leq RTM_{i,k} \cdot mA \quad \forall i \in R, \forall k \in P$$

$$\sum_{j \in A} mov_{i,j,k} \leq mMov \quad \forall i \in R, \forall k \in P$$

$$\sum_{i \in R} period_{i,j,k} \leq 1 \quad \forall j \in A, \forall k \in P$$

$$mov_{i,j,k} \leq period_{i,j,k} \cdot mMov \quad \forall i \in R, \forall j \in A, \forall k \in P$$

$$\sum_{i \in R} mov_{i,j,k} = Amov_{j,k} \quad \forall j \in A, \forall k \in P$$

$$\sum_{i \in R} period_{i,j,k} \geq op_{j,k} \quad \forall j \in A, \forall k \in P$$

Notation	Parameter	Notation	Variable
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$Amov_{j,k}$	number of movements at airport $j$ during period $k$	$d_{l,m,k}$	difference between the workloads in modules $l$ and $m$ in period $k$

# Objectives

1. Minimize the number of remote tower modules in use
2. Balance workload between the modules As much as possible!
3. Minimize assignment switches

$$\min \sum_{i \in R} \sum_{k \in P} RTM_{i,k}$$

$$d_{l,m,k} \geq \sum_{j \in A} mov_{l,j,k} - \sum_{j \in A} mov_{m,j,k} \quad \forall l, m \in R, \forall k \in P$$

$$d_{l,m,k} \geq \sum_{j \in A} mov_{m,j,k} - \sum_{j \in A} mov_{l,j,k} \quad \forall l, m \in R, \forall k \in P$$

$$\min \sum_{k \in P} d_{l,m,k} \quad \forall l, m \in R : l \neq m$$

$$switch_{i,j,k} \geq s_{i,j,k} \quad \forall i \in R, \forall j \in A, \forall k \in P$$

$$switch_{i,j,k} \geq -s_{i,j,k} \quad \forall i \in R, \forall j \in A, \forall k \in P$$

$$s_{i,j,k} = period_{i,j,k+1} - period_{i,j,k}$$

$$\min \sum_{i \in R} \sum_{j \in A} \sum_{k=1}^{p-1} switch_{i,j,k}$$

# Experimental evaluation

(1) Data analysis of:

- Example schedules and extra traffic specifications
- For two example weeks in 2016 for five Swedish airports

→ Extract 1-day data samples (the days with highest traffic)

(2) Solved MIP using AMPL CPLEX 12.6 solver

→ Solutions with different objectives

(3) Post-processing: avoid potential conflicts in schedules within one module

(4) Include special airport traffic

(5) Residual system capacity estimation

# Initial assumptions (conservative)

(1) Max # airdromes/module = 2

(relaxed for the estimation of upper bound)

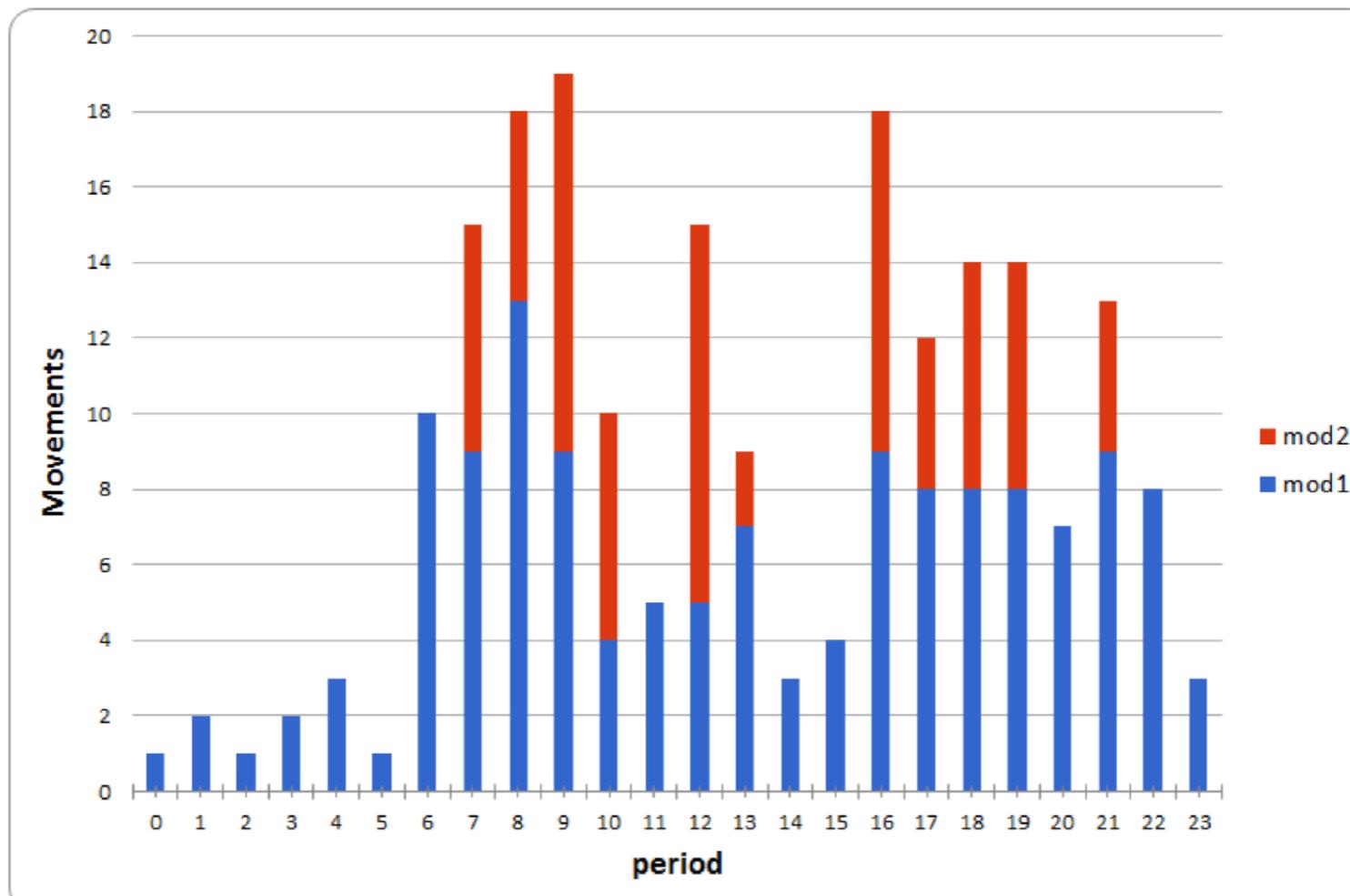
(2) Max movs per module / hour= 10

(if  $>10$  movements are initially scheduled at some airport, reduce to 10 w.l.o.g. ( $\rightarrow$  will be scheduled in separate module))

# Minimize number of modules in use

## Schema 1: Lower bound (>2 airports allowed per module)

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
AP1	0	0	0	0	0	0	1	0	0	0	0	0	2	2	0	0	1	1	0	0	0	0	0	1
AP2	1	2	1	1	2	1	3	9	10	6	4	3	3	5	2	0	5	6	5	7	2	6	4	1
AP3	0	0	0	1	1	0	0	2	1	6	3	1	5	2	0	3	6	3	4	4	4	2	2	1
AP4	0	0	0	0	0	0	3	2	4	3	2	1	2	2	1	0	3	1	3	1	0	3	1	0
AP5	0	0	0	0	0	0	3	2	0	4	1	0	3	0	0	1	3	1	2	2	1	2	1	0

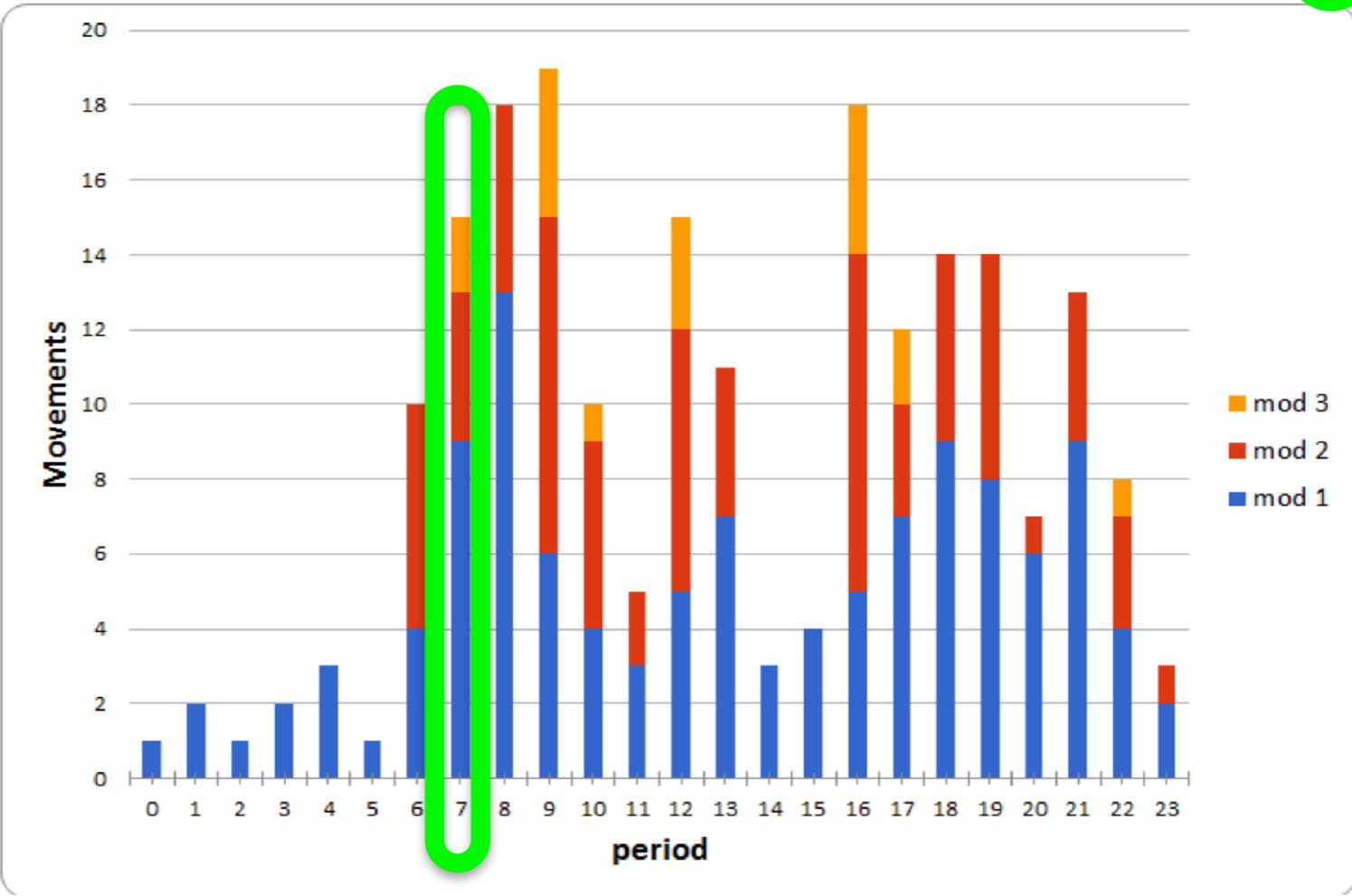


→ 2 modules suffice!

# Minimize number of modules in use

## Schema 2: $\leq 2$ airports per module

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
AP1	0	0	0	0	0	0	1	0	0	0	0	0	2	2	0	0	1	1	0	0	0	0	0	1
AP2	1	2	1	1	2	1	3	9	10	6	4	3	3	5	2	0	5	6	5	7	2	6	4	1
AP3	0	0	0	1	1	0	0	2	1	6	3	1	5	2	0	3	6	3	4	4	4	2	2	1
AP4	0	0	0	0	0	0	3	2	4	3	2	1	2	2	1	0	3	1	3	1	0	3	1	0
AP5	0	0	0	0	0	0	3	2	0	4	1	0	3	0	0	1	3	1	2	2	1	2	1	0



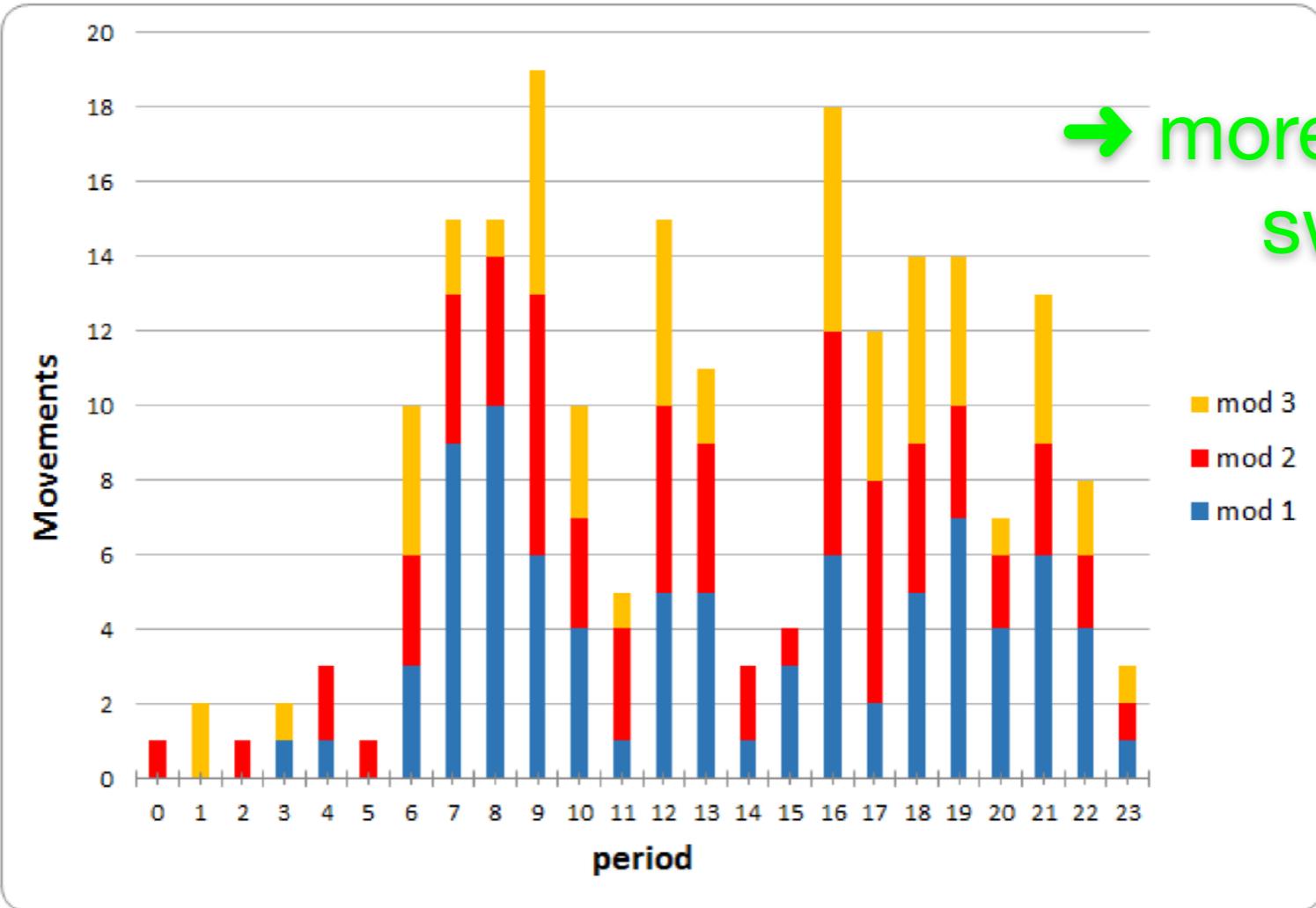
not balanced in the number of movements per module

→ 3 modules are needed

# Balancing the load

## Schema 3: Better balanced

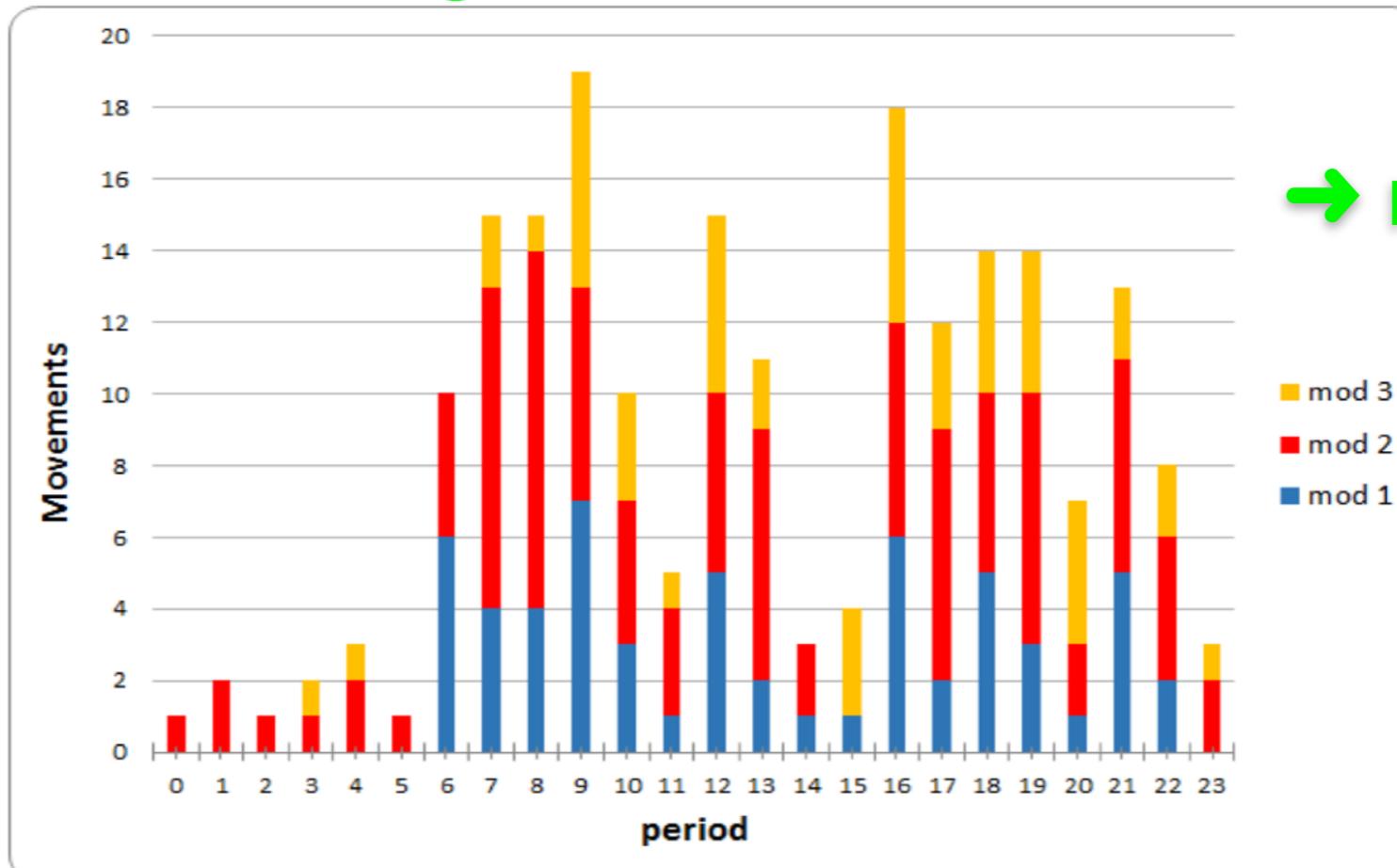
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
AP1	0	0	0	0	0	0	1	0	0	0	0	0	2	2	0	0	1	1	0	0	0	0	0	1
AP2	1	2	1	1	2	1	3	2	1	2	1	2	2	5	2	0	5	6	5	7	2	6	4	1
AP3	0	0	0	1	1	0	0	2	1	6	3	1	5	2	0	3	6	3	4	4	4	2	2	1
AP4	0	0	0	0	0	0	3	2	4	3	2	1	2	2	1	0	3	1	3	1	0	3	1	0
AP5	0	0	0	0	0	0	3	2	0	4	1	0	3	0	0	1	3	1	2	2	1	2	1	0



# Minimize number of switches

## Schema 4: Fewer assignment switches

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
AP1	0	0	0	0	0	0	1	0	0	0	0	0	2	2	0	0	1	1	0	0	0	0	0	1
AP2	1	2	1	1	2	1	3	9	10	6	4	3	3	5	2	0	5	6	5	7	2	6	4	1
AP3	0	0	0	1	1	0	0	2	1	6	3	1	5	2	0	3	6	3	4	4	4	2	2	1
AP4	0	0	0	0	0	0	3	2	4	3	2	1	2	2	1	0	3	1	3	1	0	3	1	0
AP5	0	0	0	0	0	0	3	2	0	4	1	0	3	0	0	1	3	1	2	2	1	2	1	0



→ not balanced

→ Trade-off

# Observations

We observed clear **trade-offs** between the 3 objectives:

- Minimize the number of modules in use
- Improve balancing
- Minimize switches

## What can we do?

- Prioritize according to current needs  
(e.g., balancing may have lower priority in the beginning)
- Combine solutions (e.g., first find the minimum number of modules, then apply the other 2 objectives)

# Post-processing: avoid potential conflicts

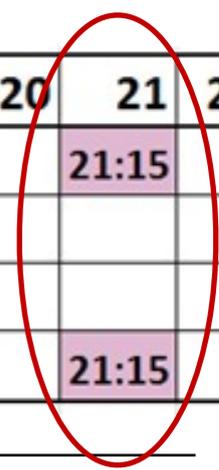
	20:00												21:00												22	
	##	##	##	##	##	##	##	##	##	##	##	##	##	##	##	##	##	##	##	##	##	##	##	##		
Torsdag																										
AP1																										
2.8																										
AP2	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	2	0	1	1	0	1	0	0	0	0	
	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	2	0	1	1	0	1	0	0	0	0	
24.0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
AP3			1	1									1													
		1					1																			
18.9	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
AP4							1									1						1				
							1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
12.7																										
AP5			1																			2				
			1																							
12.7	1	1	1	1	1	1										1	1	1	1	1	1	1	1	1	1	

self-conflict at AP2



**Conflict:**  
 $\geq 3$  movs / 5 min

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
AP2 self									8:10	9:20				13:30				17:20				21:15		
AP2_AP5									9:20															
AP2_AP3																	16:20							
AP2_AP4																							21:15	



# Post-processing: avoid potential conflicts

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
AP2 self									8:15	9:20				13:30				17:20				21:15			
AP2_AP5										9:20															
AP2_AP3																	16:10								
AP2_AP4																							21:15		

assigned to separate model - ok ✓

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
AP1	0	0	0	0	0	0	1	0	0	0	0	0	2	2	0	0	0	0	0	0	0	0	1	0
AP2	0	6	2	0	4	2	1	0	8	4	2	2	2	10	0	4	2	8	6	2	4	10	4	0
AP3	0	3	0	2	2	0	3	5	1	7	3	1	6	1	0	3	5	3	4	5	4	2	1	1
AP4	0	0	0	0	0	0	1	2	4	2	1	1	3	3	2	0	4	1	4	2	1	2	0	0
AP5	0	0	0	0	0	0	3	1	2	4	1	0	4	0	0	2	4	3	2	2	1	2	1	0

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
AP1	0	0	0	0	0	0	1	0	0	0	0	0	2	2	0	0	0	0	0	0	0	0	1	0
AP2	0	6	2	0	4	2	1	0	8	4	2	2	2	10	0	4	2	8	6	2	4	10	4	0
AP3	0	3	0	2	2	0	3	5	1	7	3	1	6	1	0	3	5	3	4	5	4	2	1	1
AP4	0	0	0	0	0	0	1	2	4	2	1	1	3	3	2	0	4	1	4	2	1	2	0	0
AP5	0	0	0	0	0	0	3	1	2	4	1	0	4	0	0	2	4	3	2	2	1	2	1	0

Changes: re-assign AP2 to a separate module during periods 8, 9, and 17

# Analysis of non-scheduled (VFR) traffic / day

		norm	worst case
<b>kiruna</b>	FM	3	10
	HKP	5	17
	Skol	1	3
	Special	2	5
	Övrigt	1	5
<b>sturup</b>	FM	1	3
	HKP	1	4
	Skol	5	20
	Special	14	60
	Övrigt	2	10
<b>umeå</b>	FM		
	HKP	4	12
	Skol	2	8
	Special	4	10
	Övrigt	4	4
<b>visby</b>	FM	6	125
	HKP	7	21
	Skol	4	10
	Special	2	10
	Övrigt		
<b>Östersun</b>	FM	8	20
	HKP	8	20
	Skol	3	8

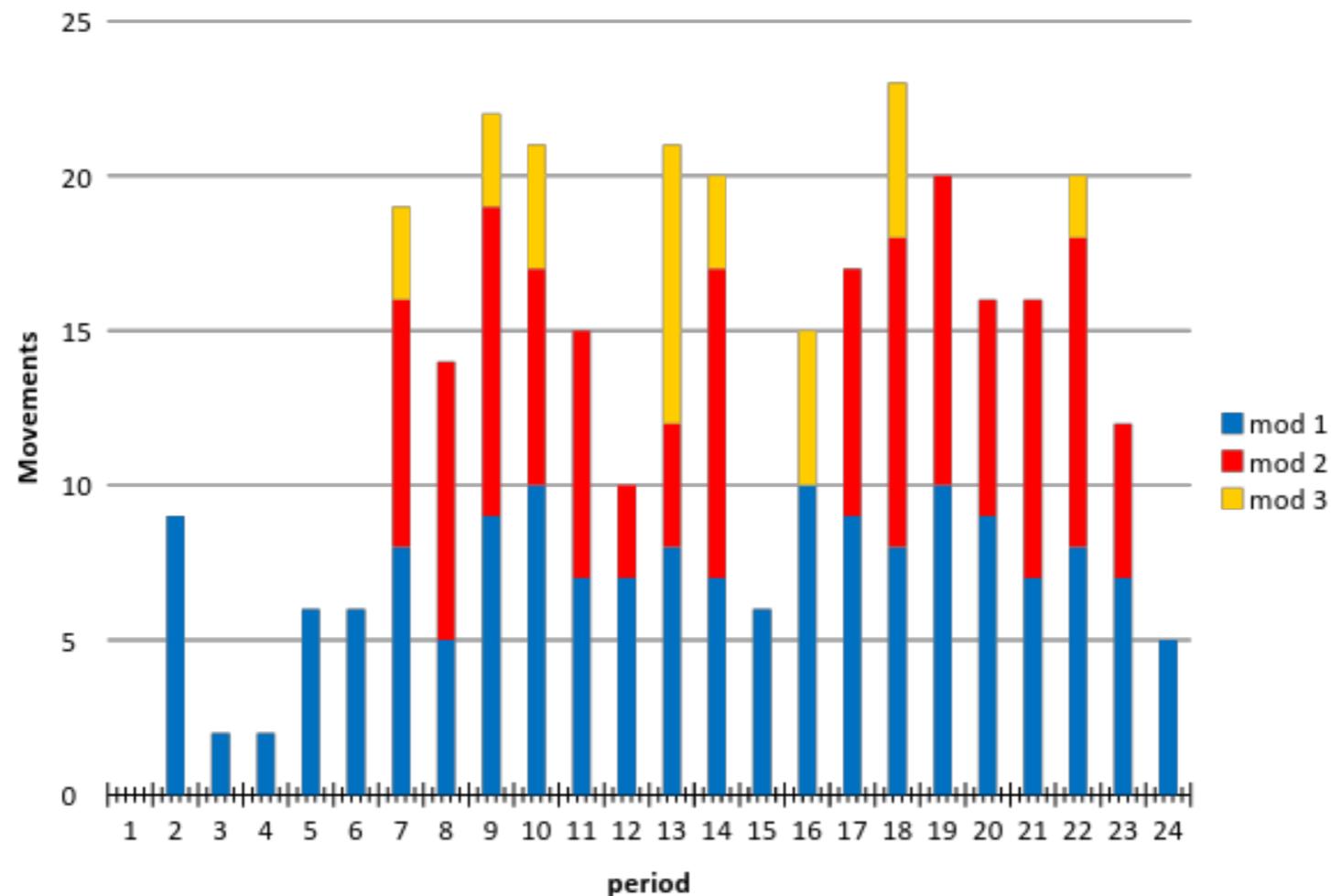
## 3 types of model runs (modes)

1. Only regular scheduled traffic (no extra traffic)
2. Add moderate amount of extra traffic (normal)
3. Worst-case scenario with MAXIMUM load

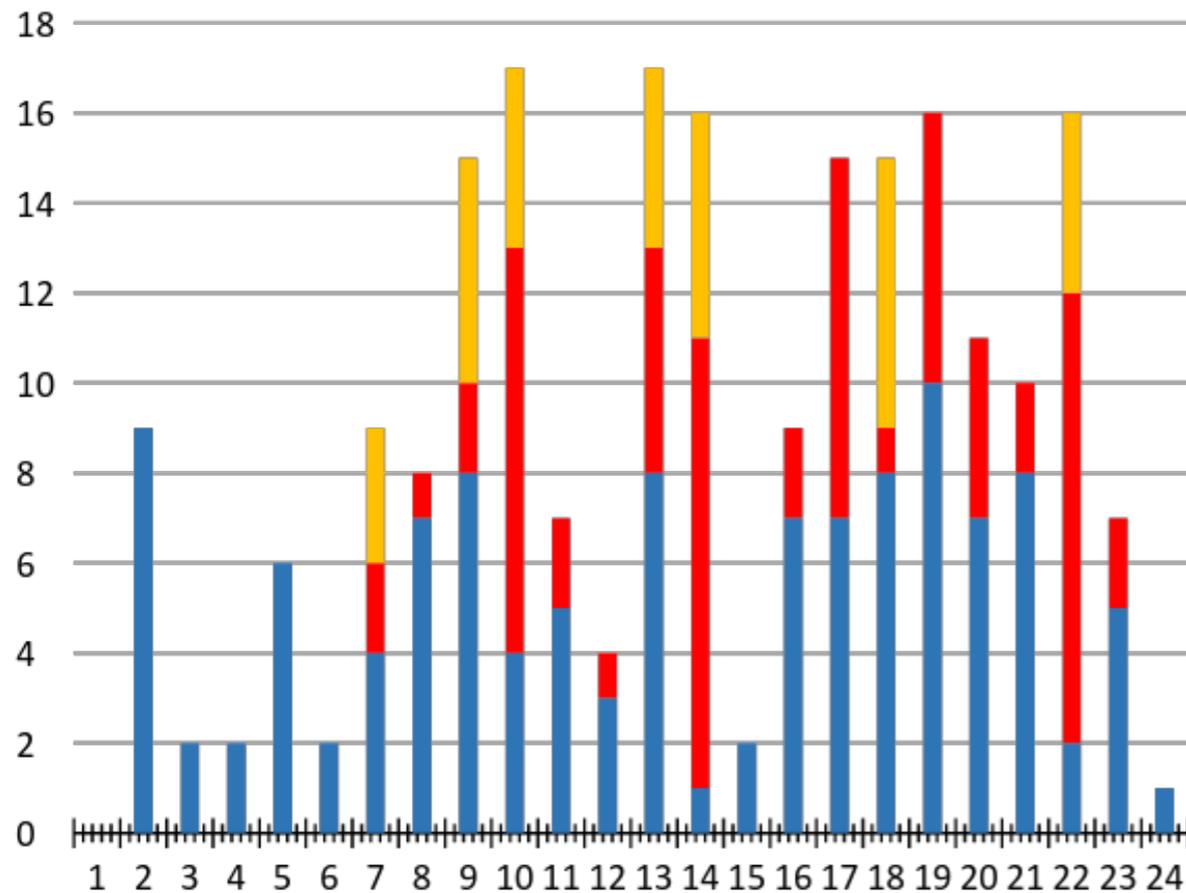
All schemas so far

# Mode 2: Extra traffic in normal operation (schema 5)

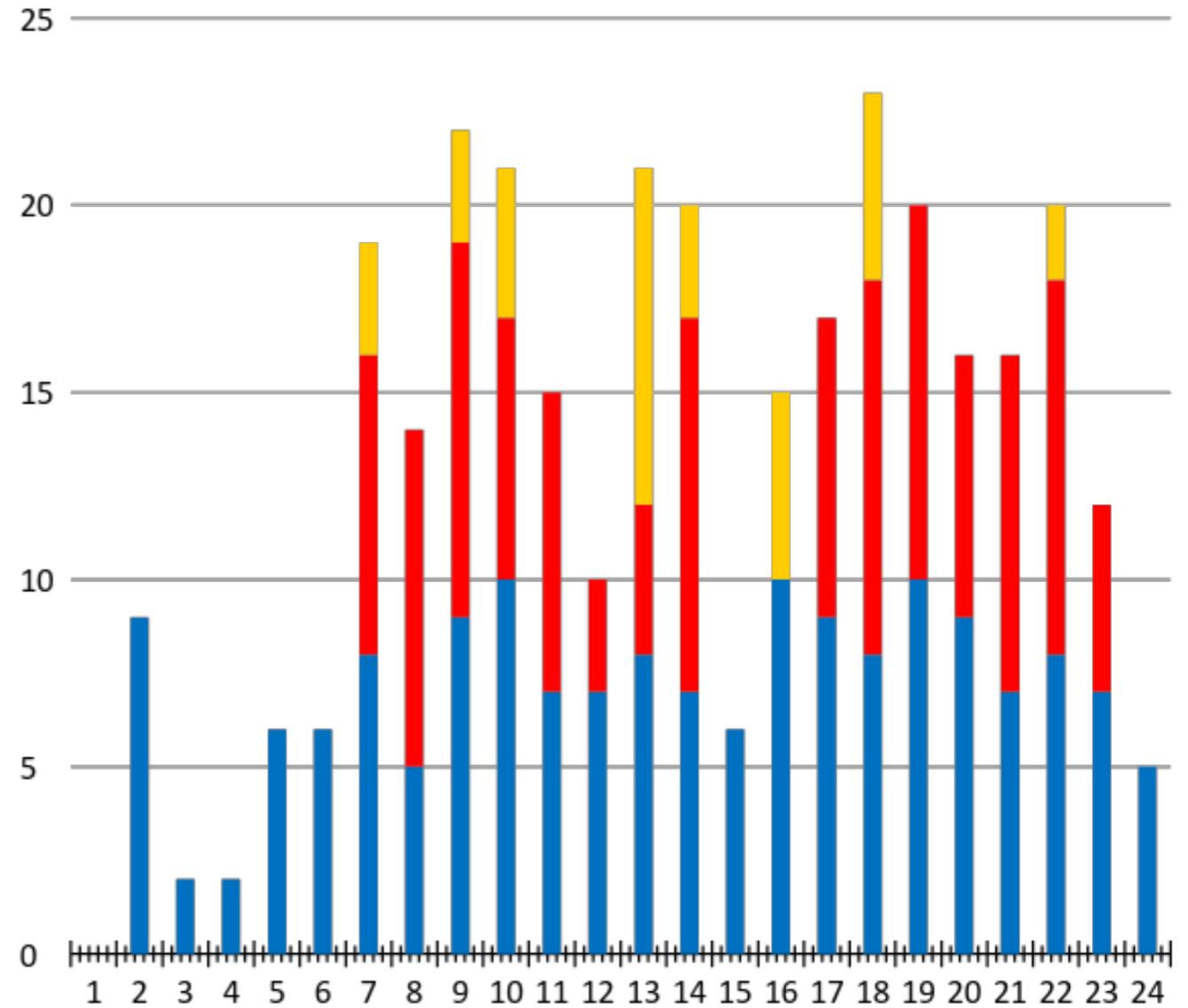
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
AP1	0	0	0	0	0	2	3	0	0	0	0	0	4	4	0	0	0	0	0	0	0	0	3	2
AP2	0	6	2	0	4	4	3	2	10	6	4	4	2	10	2	6	4	10	6	3	4	10	4	0
AP3	0	3	0	2	2	0	5	5	3	7	5	3	6	3	0	5	5	5	6	5	6	4	3	3
AP4	0	0	0	0	0	0	3	4	5	4	3	3	3	3	4	0	4	3	4	4	3	2	0	0
AP5	0	0	0	0	0	0	5	3	4	4	3	0	6	0	0	4	4	5	4	4	3	4	2	0



# Mode 2: Extra traffic in normal operation (schema 5)



Scheduled



Plus extra traffic (norm.)

Moderate amount of extra traffic added:

- Amount of traffic increases significantly
- 3 modules still suffice

# Mode 3: Worst case: MAX load operation (schema 6)

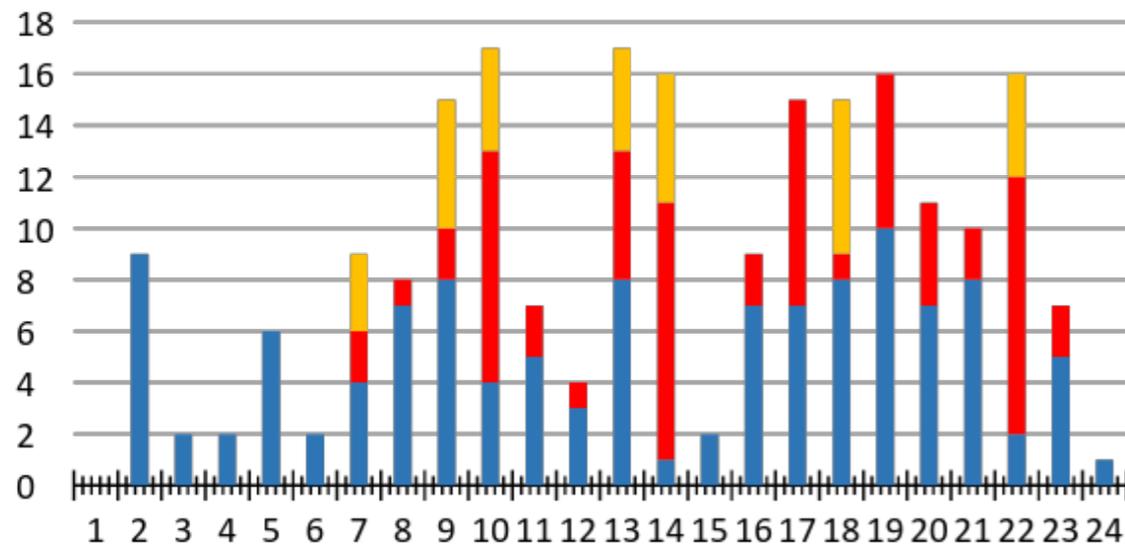
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
AP1	0	0	0	0	0	6	10	0	0	0	0	0	5	5	0	0	0	0	0	0	0	0	10	10
AP2	6	8	8	6	8	6	7	6	8	8	8	8	8	10	6	9	7	8	9	6	6	10	8	6
AP3	0	4	0	4	2	0	3	5	5	7	6	5	6	6	0	6	5	6	6	5	6	3	3	3
AP4	0	0	0	0	0	0	10	10	10	10	10	10	10	10	10	0	10	10	10	10	10	10	0	0
AP5	0	0	0	0	0	0	7	5	6	8	6	0	8	0	0	6	7	7	6	6	7	7	6	0

Problem: max extra traffic may not fit into the schedule

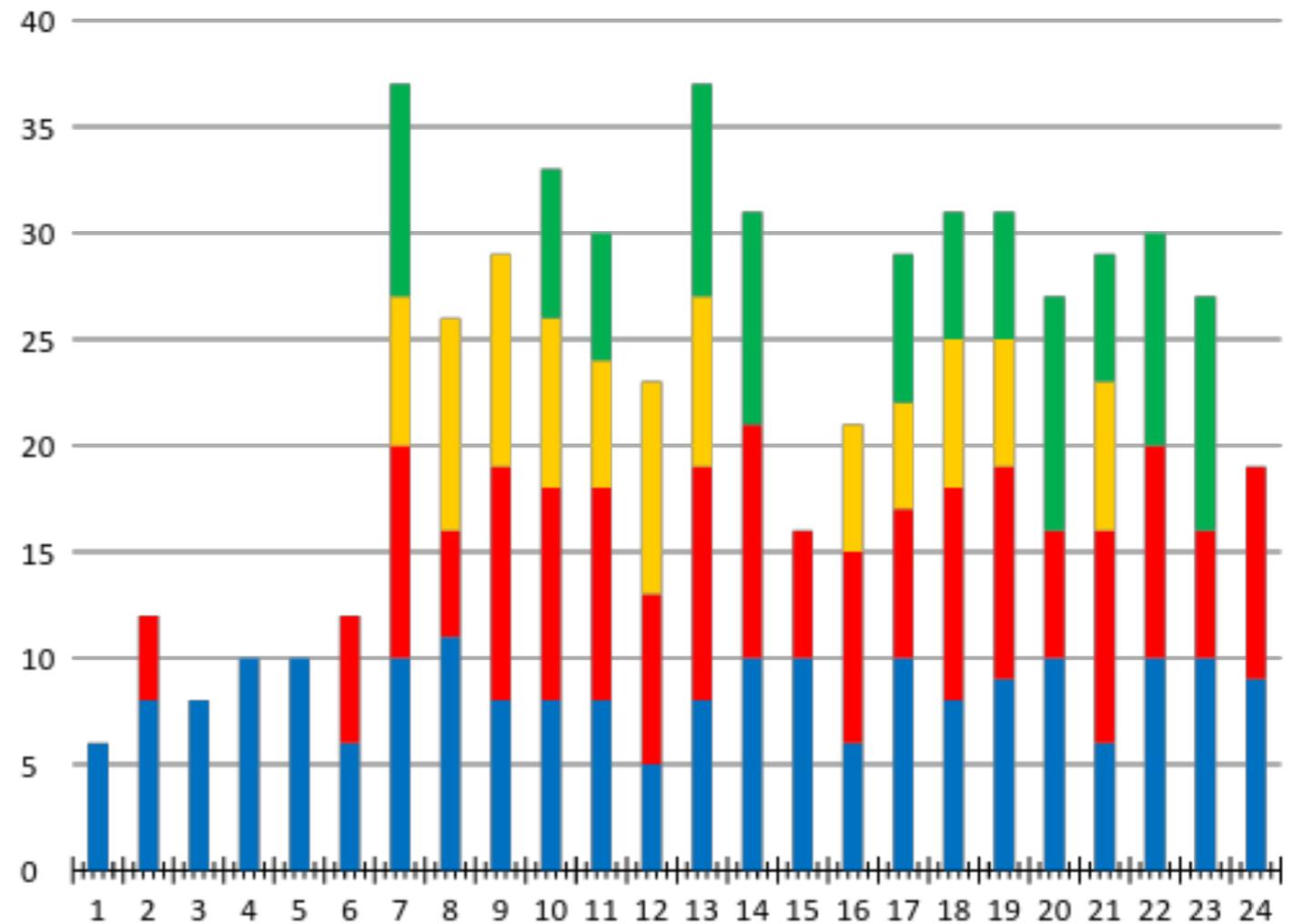
Possible solutions:

- (1) Extend open hours in some special situations (e.g. max military traffic at AP4)
- (2) Relax our conservative assumptions: Max mov/hour/module > 11? > 12?

# Mode 3: Worst case: MAX load operation (schema 6)



Scheduled



MAX extra traffic

→ 4 modules needed:

- Workload significantly higher
- Modules at full capacity most of the time

# Residual capacity of RTC with 3 modules

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	sum
AP1						10	9						8	8									9	10	54
AP2	10	4	8	10	6	8	9		2	6	8	8	8	0		6	8	2	4	8	6	0	6	10	137
AP3	10	7		8	8		7	5	9	3	7	9	4	9		7	5	7	6	5	6	8	9	9	148
AP4							9	8	6	8	9	9	7	7	8		6	9	6	8	9	8			117
AP5							7	9	8	6	9		6			8	6	7	8	8	9	8	9		108

Residual = maximum # of movements(10) – scheduled # of movements

Airport	Residual	MODE 2 (normal)	MODE 3 (worst-case)
AP1	54	12	40
AP2	137	23	97
AP3	148	24	34
AP4	117	19	166
AP5	108	23	60

**Problem at AP4:** max extra traffic exceeds the residual capacity

### Conclusions

- ✓ Optimization framework for future staff planning at RTC is created
- ✓ Example solutions (schemas) proposed
- ✓ Provided new evidence of RTC efficiency
- ✓ Subject to reality checks and discussions

### Future work

**Thank you.**

- ✓ Deeper EUROCONTROL data analysis for the year 2016
- ✓ Refine the model to reflect seasonal changes
- ✓ Shift focus towards actual ATCO shifts
- ✓ Include ground traffic into consideration
- ✓ Re-consider the workload definition: another bound than 10 movements per hour

**Questions?**